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# NOTICE OF ALLOWANCE AND FEE(S) DUE

2202

7590

02/25/2008

BIRCH STEWART KOLASCH & BIRCH PO BOX 747 FALLS CHURCH, VA 22040-0747 EXAMINER

KASTURE, DNYANESH G

ART UNIT PAPER NUMBER

4147

DATE MAILED: 02/25/2008

| APPLICATION NO. | FILING DATE FIRST NAMED INVENTOR |                 | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------|----------------------------------|-----------------|---------------------|------------------|--|
| 10/516,883      | 06/23/2005                       | Hiroyuki Makino | 2830-0180PUS1       | 1662             |  |

TITLE OF INVENTION: EXPANSION MACHINE

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1440        | \$300               | \$0                  | \$1740           | 05/27/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 2292 7590 02/25/2008 Certificate of Mailing or Transmission BIRCH STEWART KOLASCH & BIRCH I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. PO BOX 747 FALLS CHURCH, VA 22040-0747 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/516,883 06/23/2005 Hiroyuki Makino 2830-0180PUS1 1662 TITLE OF INVENTION: EXPANSION MACHINE APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1440 \$300 \$0 \$1740 05/27/2008 **EXAMINER** ART UNIT CLASS-SUBCLASS KASTURE, DNYANESH G 091-503000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

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| BIRCH STEWART KOLASCH & BIRCH<br>PO BOX 747 |                  |                      | KASTURE, DNYANESH G     |                  |
|                                             |                  |                      | ART UNIT                | PAPER NUMBER     |
| FALLS CHURCI                                | H, VA 22040-0747 |                      | 4147                    |                  |
|                                             |                  |                      | DATE MAILED: 02/25/2008 |                  |

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 551 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 551 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

|                                                                                                                                                                                                                                                                                           | Application No.                                                                                                 | Applicant(s)                                                         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                                           | 10/516,883                                                                                                      | MAKINO ET AL.                                                        |
| Notice of Allowability                                                                                                                                                                                                                                                                    | Examiner                                                                                                        | Art Unit                                                             |
|                                                                                                                                                                                                                                                                                           | DNYANESH KASTURE                                                                                                | 4147                                                                 |
| The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this ap<br>) or other appropriate communication<br>IGHTS. This application is subject to | plication. If not included will be mailed in due course. <b>THIS</b> |
| 1. X This communication is responsive to 23 June 2005.                                                                                                                                                                                                                                    |                                                                                                                 |                                                                      |
| 2. ☑ The allowed claim(s) is/are <u>1 and 2</u> .                                                                                                                                                                                                                                         |                                                                                                                 |                                                                      |
| <ul> <li>3.  Acknowledgment is made of a claim for foreign priority upon a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> </ul>                                                                                                       |                                                                                                                 |                                                                      |
| 2. Certified copies of the priority documents have                                                                                                                                                                                                                                        | e been received in Application No                                                                               |                                                                      |
| 3. Copies of the certified copies of the priority do                                                                                                                                                                                                                                      | cuments have been received in this                                                                              | national stage application from the                                  |
| International Bureau (PCT Rule 17.2(a)).                                                                                                                                                                                                                                                  |                                                                                                                 |                                                                      |
| * Certified copies not received:                                                                                                                                                                                                                                                          |                                                                                                                 |                                                                      |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4.   A SUBSTITUTE OATH OR DECLARATION must be subm                                                                           | MENT of this application.                                                                                       |                                                                      |
| INFORMAL PATENT APPLICATION (PTO-152) which give                                                                                                                                                                                                                                          | es reason(s) why the oath or declara                                                                            | ition is deficient.                                                  |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") must                                                                                                                                                                                                                                     | st be submitted.                                                                                                |                                                                      |
| (a) ☐ including changes required by the Notice of Draftspers                                                                                                                                                                                                                              | son's Patent Drawing Review(PTO-                                                                                | 948) attached                                                        |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date                                                                                                                                                                                                                                                | e.                                                                                                              |                                                                      |
| (b) ☐ including changes required by the attached Examiner'<br>Paper No./Mail Date                                                                                                                                                                                                         | s Amendment / Comment or in the C                                                                               | Office action of                                                     |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t                                                                                                                                                          |                                                                                                                 |                                                                      |
| <ol> <li>DEPOSIT OF and/or INFORMATION about the depo-<br/>attached Examiner's comment regarding REQUIREMENT</li> </ol>                                                                                                                                                                   |                                                                                                                 |                                                                      |
| Attachment(s) 1. ☑ Notice of References Cited (PTO-892)                                                                                                                                                                                                                                   | 5.                                                                                                              | Patent Application                                                   |
| Notice of Draftperson's Patent Drawing Review (PTO-948)                                                                                                                                                                                                                                   | 6. ☐ Interview Summary                                                                                          | • •                                                                  |
|                                                                                                                                                                                                                                                                                           | Paper No./Mail Dat                                                                                              | te .                                                                 |
| 3. Information Disclosure Statements (PTO/SB/08),                                                                                                                                                                                                                                         | 7. ☐ Examiner's Amendr                                                                                          | ment/Comment                                                         |
| Paper No./Mail Date <u>03 Dec 04</u> 4. Examiner's Comment Regarding Requirement for Deposit                                                                                                                                                                                              | 8. 🛛 Examiner's Stateme                                                                                         | ent of Reasons for Allowance                                         |
| of Biological Material                                                                                                                                                                                                                                                                    | 9.                                                                                                              |                                                                      |
|                                                                                                                                                                                                                                                                                           |                                                                                                                 |                                                                      |
|                                                                                                                                                                                                                                                                                           |                                                                                                                 |                                                                      |
|                                                                                                                                                                                                                                                                                           |                                                                                                                 |                                                                      |

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## **REASONS FOR ALLOWANCE**

1. The following is an examiner's statement for reasons of allowance: The specific limitations of "locus (T) of contact points between the spherical depressions (31a) of the swash plate (31) and the spherical projections (61a) of the pistons (42) is offset toward the expansion stroke side of the axial piston cylinder group (56)" in the combination as claimed in claim 1 are not anticipated or made obvious by the prior art of record in the examiner's opinion.

- 2. For example, Arai et al (US Patent 5,826,488 A) teaches how the axis of the swash plate can be inclined at different angles by an angle changing apparatus.
- 3. Kanamaru et al (US Patent 5,176,066 A) teaches that each piston in fact draws a locus of an ellipse during the rotation of the cylinder barrel.
- 4. However, the prior art of record fails to teach or suggest the specific limitations of locus (T) of contact points between the spherical depressions (31a) of the swash plate (31) and the spherical projections (61a) of the pistons (42) is offset toward the expansion stroke side of the axial piston cylinder group (56)" in the combination as claimed in claim 1.

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5. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should clearly labeled "Comments on Statement of Reasons for Allowance".

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DNYANESH KASTURE whose telephone number is (571)270-3928. The examiner can normally be reached on Mon-Fri, 9:00 AM to 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, George Nguyen can be reached on (571) 272-4491. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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Art Unit: 4147

Dnyanesh Kasture Examiner Art Unit 4147

DGK /George Nguyen/ Supervisory Patent Examiner, Art Unit 4147